



1-15428

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Michelle Fais
(signature)

Michelle Fais

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Goodman, et al.

] Group Art Unit: 2161

Serial No. 09/876,311

Filed: June 7, 2001

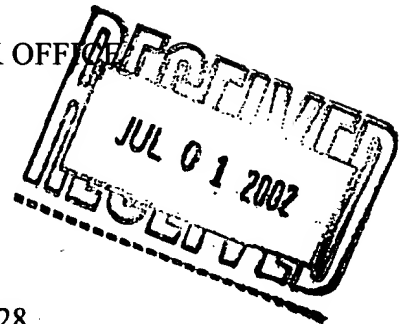
] Examiner:

For: Method of Incentivising Members of
a Disease Management Programme
to Comply with the Programme

] Attorney Docket 1-15428

June 20, 2002

Commissioner of Patents
Washington, D.C. 20231



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INFORMATION DISCLOSURE STATEMENT

Honorable Sir:

Pursuant to Section 1.97 of Title 37 of the Code of Federal Regulations, and prior
to the issuance of a first office action, record is hereby made of published prior art the
United States Patent and Trademark Office may wish to consider during examination of the
above-entitled application. No representation is made or intended that a prior art search
was conducted, or that no better art than that listed is available.

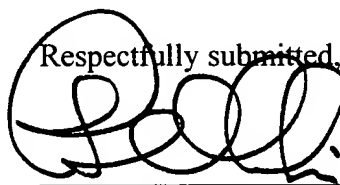
<u>U.S. Patent</u>	<u>Date of Issuance</u>	<u>Inventor</u>
5,937,387	August 10, 1999	Summerell, et al.
5,542,420	August 6, 1996	Goldman, et al.
5,692,501	December 2, 1997	Minturn

<u>Other Prior Art</u>	<u>Date of Publication</u>
"Choice and cost-savings"	July 1996
"Stay healthy for real fun – and profit"	January 1996
"New plan cuts health care costs in half"	October 1993

Copies of the above-cited U.S. patent documents and other prior art are enclosed,
along with IDS Form PTO/SB/08A.

It is requested that these references be fully considered and made of record in this case.

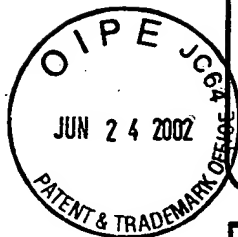
Respectfully submitted,



Phillip S. Oberlin
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	09/876,311
Filing Date	June 7, 2001
First Named Inventor	Goodman, et al.
Art Unit	2161
Examiner Name	
Attorney Docket Number	1-15428

U.S. PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-5,937,387	08-10-1999	Summerell et al.	
		US-5,542,420	08-06-1996	Goldman et al.	
		US-5,692,501	12-02-1997	Minturn	
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FOREIGN PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

